

11-28-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: David Johnson

SERIAL NUMBER: 10/601,076

FILED: 06/19/2003

FOR: Notch-Free Etching of High Aspect SOI Structures
Using Alternating Deposition and Etching and
Pulsed Plasma

ATTORNEY DOCKET NO.: 074361.00016

U.S. Patent and Trademark Office
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The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

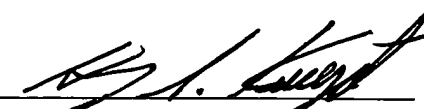
Respectfully submitted,

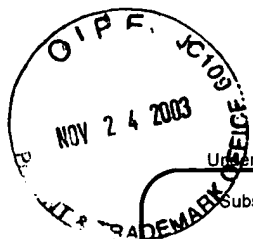
By: 
Harvey S. Kauget
Registration No. 41,108
Holland & Knight LLP
Suite 4100
100 North Tampa Street
Tampa, Florida 33602-3644
Telephone: 813/227-8500
Facsimile: 813/229-0134

CERTIFICATE OF MAILING

I HEREBY CERTIFY that the foregoing was placed in an envelope and mailed via Express Mail, postage paid, to the U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop DD, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202, on this the 24th day of November, 2003.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/601,076
Filing Date	06/19/03
First Named Inventor	David Johnson
Art Unit	1763
Examiner Name	
Attorney Docket Number	074361.00016

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,501,893	03-26-1996	Laermer et al.	
		US- 6,187,685	02-13-2001	Hopkins et al.	
		US- 4,985,114	01-15-1991	Okudaira et al.	
		US- 5,468,341	11-21-1995	Samukawa	
		US- 6,372,654	04-16-2002	Tokashiki	
		US- 5,683,538	11-04-1997	O'Neill et al.	
		US- 4,500,563	02-19-1985	Ellenberger et al.	
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		US- 6,214,162	04-10-2001	Koshimizu	
		US- 6,255,221	07-03-2001	Hudson et al.	
		US- 6,319,355	11-20-2001	Holland	
		US- 6,471,821	10-29-2002	Ogino et al.	
		US- 6,332,425	12-25-2001	Kofuji et al.	
		US- 6,231,777	05-15-2001	Kofuji et al.	
		US- 6,253,704	07-03-2001	Savas	
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		US- 6,395,641	05-28-2002	Savas	
		US- 2002/0153101	10-24-2002	Nguyen et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2000294540	10-20-2000	NEC Corp.		
		JP11219938	10-08-1999	Matsushita Elec		
		JP06061182	03-04-1994	Mitsubishi Elec		
		JP11026433	01-29-1999	Matsushita Elec		
		JP11102895	04-13-1999	Fujitsu Ltd		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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